

Li Auto Inc.

Code of Personal Information Protection and Privacy Security

Li Auto Inc. (“**Li Auto**”, “**We**” or the “**Company**”) fully recognizes the importance of user privacy and personal information and attaches great importance to the protection of user privacy and personal information. In the process of providing services to users, we strictly comply with global privacy protection regulations and standards, strictly implement the Company’s policies on privacy and personal information protection, and maximize the protection of data subjects’ rights and privacy security.

Applicable scope

This Code on Personal Information Protection and Privacy Security (the “**Code**”) applies to Li Auto Inc. and all its employees, including its subsidiaries, wholly-owned subsidiaries, branches, and affiliated companies, as well as organizations and individuals assigned to work for or on behalf of Li Auto Inc.

General Principles of Personal Information Protection

1. Principle of Consistency of Authority and Responsibilities: The Company should adopt technical measures in personal information processing activities to safeguard the security of personal information, and should assume liabilities for the damage to the legitimate rights and interests of the personal information subject caused by the corresponding personal information processing activities;
2. Principle of Clear Purpose: Personal information processing activities should have explicit, clear, and specific personal information processing purposes;
3. Principle of Consent: Personal information processing activities should clearly indicate the purpose, method, scope, rules, etc. of personal information processing to the personal information subject, and seek their authorization and consent;
4. Principle of Minimal Necessity: The processing of personal information should only deal with the minimum type and amount of personal information required to match the authorized consent of the personal information subject. After the processing purpose is completed, personal information should be deleted in a timely manner;
5. Principle of Openness and Transparency: The scope, purpose and rules of

- processing personal information should be publicly disclosed in a clear, understandable, and reasonable manner, and subject to external supervision;
6. Principle of Ensuring Safety: The Company should have capabilities on security that are commensurate with the security risks it faces and should take adequate management and technical measures to protect the confidentiality, integrity, and availability of personal information; and
 7. Principle of Subject Participation: The Company should provide personal information subjects with the means to inquire on, correct, or delete their personal information, as well as to withdraw authorized consents, cancel accounts, and file complaints.

The Department of Personal Information Protection

Li Auto has clearly identified the responsible departments for personal information protection at all levels and the specific responsibilities of each department. The Company has set up an information security team responsible for decision-making and support work and a professional data compliance team responsible for managing and guiding work. The Company's various business departments should be responsible for implementing specific personal information protection work, perfecting the management mechanism for each link in the process of personal information processing, and guaranteeing the standardization of the Company's personal information processing activities.

The Policy System of Personal Information Protection

Li Auto has developed and released a personal information protection system, providing comprehensive and clear guidance for the Company's personal information processing activities. This system is applicable to all employees, interns, and third-party suppliers of Li Auto and its branch offices, wholly-owned subsidiaries, affiliated entities of Li Auto Inc. We regularly conduct personal information protection trainings for our employees, with the aim of continuously improving their awareness and ability in privacy and to protect personal information protection. In the event of an incident related to personal information security, we will immediately conduct effective investigations and rectification measures, and penalize the relevant responsible persons confirmed by the investigation in accordance with the system to protect the Company's continuous information security.

Personal Information Protection Impact Assessment (PIA)

Li Auto has established a personal information protection impact assessment system, established a PIA risk matrix and corresponding process system, formed a professional data compliance team, identified and evaluated privacy and personal information protection risks in the Company's products and services in accordance with laws, regulations, and the requirements of the Company's policies, and promoted compliance and rectification of risks to minimize potential impacts on the rights of personal information subjects and other risks in personal information processing activities.

Privacy Protection Capability Certification

The privacy and personal information protection capabilities of Li Auto have been highly recognized by relevant departments and professional certification organization. For example, some of our important business systems have completed the filing of network security level protection; our personal information processing subjects have passed the network security level protection assessment (Level 3) and obtained ISO/IEC 27701, ISO/IEC 27001 certification.